

Neutrinoless Double Beta Decay within the Interacting Shell Model

Javier Menéndez

Institute for Nuclear Physics, Technical University Darmstadt (TUD)
ExtreMe Matter Institute (EMMI), GSI

EFN 2010, El Escorial, 27-29 September 2010



Outline

- 1 Introduction
 - Double Beta Decay
 - The Interacting Shell Model
- 2 ISM Results and Uncertainty
 - The $0\nu\beta\beta$ operator
 - ISM $0\nu\beta\beta$ calculations
 - Results and Uncertainty
 - Structure of the NMEs
- 3 Application to neutrino masses
 - Application to neutrino masses
- 4 Summary

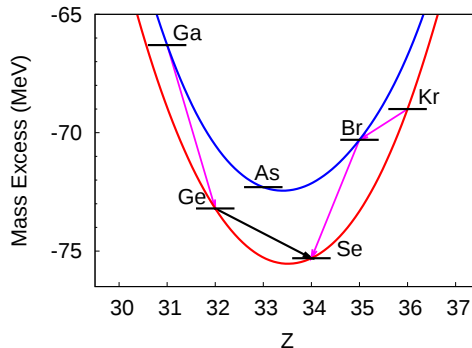
Outline

- 1 Introduction
 - Double Beta Decay
 - The Interacting Shell Model
- 2 ISM Results and Uncertainty
 - The $0\nu\beta\beta$ operator
 - ISM $0\nu\beta\beta$ calculations
 - Results and Uncertainty
 - Structure of the NMEs
- 3 Application to neutrino masses
 - Application to neutrino masses
- 4 Summary



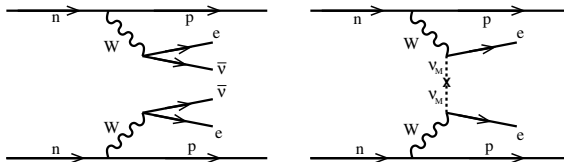
Double beta decay: origin

- Double beta decay is a rare second order weak process
- It only appears when single β decay is energetically forbidden or hindered by large J difference



Double Beta Decay: types

- Two different $\beta\beta$ decays may happen:



- Two neutrino double beta decay ($2\nu\beta\beta$)

Detected for a dozen of nuclei

Fastest decay, ^{100}Mo : $T_{1/2} = (7.1 \pm 0.4) 10^{18}$ years

- Neutrinoless double beta decay ($0\nu\beta\beta$)

Only one unconfirmed claim in ^{76}Ge

(Klapdor-K. MPLA 16 2409 (2001), PLB 586 198 (2004))

Claim: $T_{1/2} = (0.69 - 4.18) 10^{25}$ years



TECHNISCHE
UNIVERSITÄT
DARMSTADT

Double beta decay: transitions with $Q_{\beta\beta} > 2 \text{ MeV}$

Transition	$Q_{\beta\beta}$ (MeV)	Abundance (%)
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	4.274	0.2
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	2.039	8
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	2.996	9
$^{96}\text{Zr} \rightarrow ^{96}\text{Mo}$	3.350	3
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	3.034	10
$^{110}\text{Pd} \rightarrow ^{110}\text{Cd}$	2.013	12
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	2.802	7
$^{124}\text{Sn} \rightarrow ^{124}\text{Te}$	2.288	6
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	2.530	34
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	2.462	9
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	3.667	6



Neutrinoless Double Beta Decay

- $0\nu\beta\beta$ is only possible if neutrinos are of the Majorana type
 $\Rightarrow$ **a confirmation will establish the Majorana nature of neutrinos**
- The lifetime of the $0\nu\beta\beta$ depends on the Nuclear Matrix Element (NME), $M^{0\nu\beta\beta}$, as:

$$\left(T_{1/2}^{0\nu\beta\beta} (0^+ \rightarrow 0^+) \right)^{-1} = G_{01} |M^{0\nu\beta\beta}|^2 \left| \frac{m_{\beta\beta}}{m_e} \right|^2$$

with $m_{\beta\beta} = \sum_k U_{ek}^2 m_k$ the effective $0\nu\beta\beta$ decay neutrino mass

- The NME is required to:
 - Obtain information about $m_{\beta\beta}$ if detection is achieved
 - Predict the more favourable decays



Outline

- 1 Introduction
 - Double Beta Decay
 - The Interacting Shell Model
- 2 ISM Results and Uncertainty
 - The $0\nu\beta\beta$ operator
 - ISM $0\nu\beta\beta$ calculations
 - Results and Uncertainty
 - Structure of the NMEs
- 3 Application to neutrino masses
 - Application to neutrino masses
- 4 Summary

Nuclear Structure Methods for $0\nu\beta\beta$ decay

Different methods are used to obtain the NMEs for the $0\nu\beta\beta$ decay

- The spherical **QRPA** method
- The **Interacting Boson Model**
- Beyond Self Consistent Mean Field
See T. Rodríguez talk tomorrow
- The **Interacting Shell Model**
 - It solves the problem in relatively small valence spaces (one Harmonic Oscillator or Spin-Orbit shell)
 - Successfully describes **spectroscopy**, β and $2\nu\beta\beta$ transitions in regions of interest for $0\nu\beta\beta$ decay.



The ISM: basis states and valence space

- The ISM considers the presence of a residual interaction while taking as basis states these of the Harmonic Oscillator

$$H = H_0 + H_{res}$$

- The many body wave function will be a linear combination of the Slater Determinants built upon these single particle states

$$|\phi_\alpha\rangle = a_{i1}^+ a_{i2}^+ \dots a_{iA}^+ |0\rangle \quad |\Psi\rangle = \sum_{\alpha} c_{\alpha} |\phi_{\alpha}\rangle$$

- The **configuration space** is separated into
 - **Inner core**: orbits that are always filled
 - **Valence space**: the space where we solve the problem
 - **Outer space**: orbits that are always empty



The ISM: interaction and diagonalization

- In the truncated valence space, an **effective interaction** is required, either
 - **Realistic**: potentials adjusted to $n - n$ scattering data regularized to nuclei by G -matrices or the $V_{low\ k}$ method
Their **monopole** part needs to be modified
 - **Fitted**: fit to selected nuclei in the region of interest
- Now, we have to solve the nuclear problem in the valence space

$$H|\Psi\rangle = E|\Psi\rangle \rightarrow H_{eff}|\Psi\rangle_{eff} = E|\Psi\rangle_{eff}$$

- The ISM code **NATHAN** (Caurier *et al.* RMP 77 (2005)), has been used throughout this work
- Spaces with up to **10^{11}** Slater determinants can be diagonalized.

Outline

- 1 Introduction
 - Double Beta Decay
 - The Interacting Shell Model
- 2 ISM Results and Uncertainty
 - The $0\nu\beta\beta$ operator
 - ISM $0\nu\beta\beta$ calculations
 - Results and Uncertainty
 - Structure of the NMEs
- 3 Application to neutrino masses
 - Application to neutrino masses
- 4 Summary



The $0\nu\beta\beta$ operator

- The form of the operator is:

$$M^{0\nu\beta\beta} = - \left(\frac{g_V(0)}{g_A(0)} \right)^2 M^F + M^{GT} - M^T$$

where $M^X = \langle 0_f^+ | \sum_{n,m} \tau_n^- \tau_m^- H^X(r) \Omega^X | 0_i^+ \rangle$

- Ω^X is one of the three operators:
Fermi (1), Gamow-Teller ($\sigma_1\sigma_2$) or Tensor (S_{12})
- $H^X(r)$ are the neutrino potentials, calculated by:

$$H^X(r) = \frac{2}{\pi} \frac{R}{g_A^2(0)} \int_0^\infty f^X(qr) \frac{h^X(q)}{(q + E_a^m - \frac{1}{2}(E_i - E_f))} q dq$$



Higher Order Current terms

- The potentials are composed of different terms:

$$\begin{aligned} h^F(q) &= h_{\nu\nu}^F(q), \\ h^{GT}(q) &= h_{aa}^{GT}(q) + h_{ap}^{GT}(q) + h_{pp}^{GT}(q) + h_{mm}^{GT}(q), \\ h^T(q) &= h_{ap}^T(q) + h_{pp}^T(q) + h_{mm}^T(q), \end{aligned}$$

- h_{aa}^{GT} will be the dominant term and $h_{\nu\nu}^{GT}$ the main correction
- The other six terms h_{ap}^{GT} , h_{ap}^T , h_{pp}^{GT} , h_{pp}^T , h_{mm}^{GT} and h_{mm}^T come from next order, $\frac{q}{m_N}$, in the product of hadronic currents, and they are usually called HOC terms
- Next order terms are suppressed by $\frac{q^2}{m_N^2}$, so are expected $\approx 1\%$



Closure approximation

Remember that the neutrino potentials are calculated by:

$$H^X(r) = \frac{2}{\pi} \frac{R}{g_A^2(0)} \int_0^\infty f^X(qr) \frac{h^X(q)}{(q + E_a^m - \frac{1}{2}(E_i - E_f))} q dq$$

The closure approximation is used in the calculation:
the various energies of the virtual intermediate states, E_a^m ,
are averaged by a common $\langle E^m \rangle$

- There is no need to calculate these intermediate virtual states
- Justified by the large momentum of the virtual neutrino $q \approx 100$ MeV, much larger than the difference between E_a^m 's
- The error due to this approximation is smaller than 10%

Finite Nucleon Size

- The **Finite Nucleon Size (FNS)** is implemented via **dipole form factors**

$$g_V(q^2) = \frac{g_V(0)}{\left(1 + \frac{q^2}{\Lambda_V^2}\right)^2}, \quad g_A(q^2) = \frac{g_A(0)}{\left(1 + \frac{q^2}{\Lambda_A^2}\right)^2}$$

- The values of the cutoffs of the vector and axial nucleon form factors are $\Lambda_V = 0.85 \text{ GeV}$ and $\Lambda_A = 1.09 \text{ GeV}$
- $M^{0\nu\beta\beta}$ depends weakly on them, so variation smaller than 5% comes from different reasonable values.

$g_A(0)$ quenching

- The coupling constant $g_A(0)$, needs to be **quenched** in purely Gamow-Teller processes, single- β and $2\nu\beta\beta$
- But the Gamow-Teller 1^+ channel is **not dominant** in $0\nu\beta\beta$ decay
- Hence, **it is not clear if $g_A(0)$ should be quenched or not**
- ISM results have traditionally calculated with $g_A(0) = 1.25$
If the axial coupling was quenched to $g_A(0) = 1.0$
ISM results would decrease in $\approx 30\%$
- This is probably the **major** single source of **uncertainty** in the $M^{0\nu\beta\beta}$ calculation

Short Range Correlations

- If we do not renormalize the operator to our configuration space, new correlations, **Short Range Correlations (SRC)**, are needed.
- **after FNS** they have been calculated to be $\sim 5\%$
they can be parametrized by an UCOM transformation
or Jastrow-like functions
Engel et al. PRC 79 064317 (2009) and Šimkovic et al. PRC 79 055501 (2009)
- Thus, the uncertainty due to SRC is only $\approx 5\%$

Outline

- 1 Introduction
 - Double Beta Decay
 - The Interacting Shell Model
- 2 **ISM Results and Uncertainty**
 - The $0\nu\beta\beta$ operator
 - **ISM $0\nu\beta\beta$ calculations**
 - Results and Uncertainty
 - Structure of the NMEs
- 3 Application to neutrino masses
 - Application to neutrino masses
- 4 Summary

ISM Valence spaces and Interactions

- The ISM interactions are **monopole modified G matrices**
- The valence spaces and interactions used are the following
 - **pf** shell for ^{48}Ca
KB3 interaction
 - **$1p_{3/2}$, $0f_{5/2}$, $1p_{1/2}$ and $0g_{9/2}$** space
for ^{76}Ge and ^{82}Se
gcn.28-50 interaction
 - **$0g_{7/2}$, $1d_{3/2}$, $1d_{5/2}$, $2s_{1/2}$ and $0h_{11/2}$** space
for ^{124}Sn , ^{128}Te , ^{130}Te and ^{136}Xe
gcn.50-82 interaction

Uncertainty related to ISM valence space

- ISM study of the contributions of
2p-2h jumps from $0f_{7/2}$ in the ^{82}Se decay
2p-2h from proton $0g_{7/2}$ and to neutron $0h_{11/2}$, $1f_{7/2}$ for ^{136}Xe
Results show an increase in $M^{0\nu\beta\beta}$ of 15% – 20%

Caurier *et al.* EPJA 36 195 (2008)

- QRPA study the contribution of ISM-like orbits to $M^{0\nu\beta\beta}$ for ^{76}Ge
finding 15% reduction of their QRPA values.

Šimkovic *et al.* PRC 79 055501(2009)

- Contribution of the orbits absent in the ISM valence space
Estimated to increase the NME in $\approx 15 - 20\%$

Uncertainty of the effective interaction

- Different interactions studied for the ^{48}Ca decay
Results show uncertainty in $M^{0\nu\beta\beta}$ of $\approx 10\%$

Caurier *et al.* EPJA 36 195 (2008)

- New experimental neutron and proton occupancies
of nuclei involved in the ^{76}Ge transition

Schieffer *et al.* PRL 100 112501 021301 (2008), Kay *et al.* PRC 79 (2009)

A new interaction improved agreement with experiment
The value of $M^{0\nu\beta\beta}$ increased in 15%

- Then, the uncertainty in $M^{0\nu\beta\beta}$ due to the effective interaction
can be estimated in $\approx 10\%$

Outline

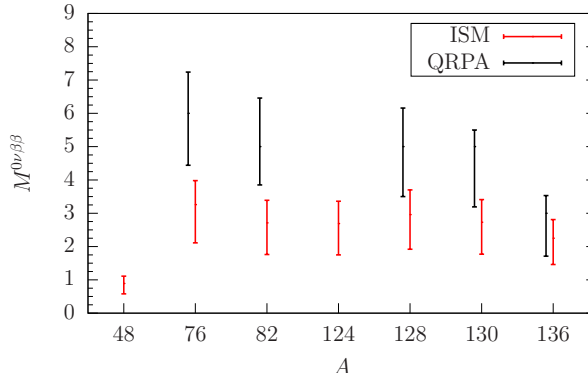
- 1 Introduction
 - Double Beta Decay
 - The Interacting Shell Model
- 2 ISM Results and Uncertainty
 - The $0\nu\beta\beta$ operator
 - ISM $0\nu\beta\beta$ calculations
 - **Results and Uncertainty**
 - Structure of the NMEs
- 3 Application to neutrino masses
 - Application to neutrino masses
- 4 Summary

Global uncertainty of the NME

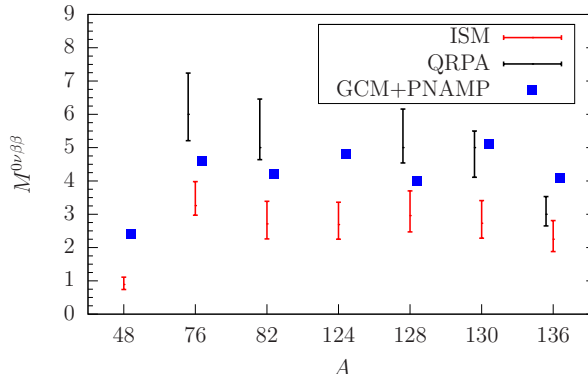
- The global uncertainty has contribution from the **valence space**, effective **interaction**, **closure** approximation, terms neglected in the **nuclear current**, finite nucleon size terms (**FNS**), short range correlations (**SRC**) and **$g_A(0)$** (axial-coupling) (non)quenching.
- Quadratic sum gives $\sim \begin{matrix} +25\% \\ -35\% \end{matrix}$
- Direct sum would give $\sim \begin{matrix} +45\% \\ -55\% \end{matrix}$



ISM Results



ISM Results excluding axial quenching



Discrepancies ISM vs QRPA

- Contribution of the **orbits absent in the ISM valence space** underestimated within the ISM
- Contribution of **correlations missing in the QRPA** approach underestimated within QRPA
- **Quasiparticle** excitations missing in the **GCM+PNAMP** approach

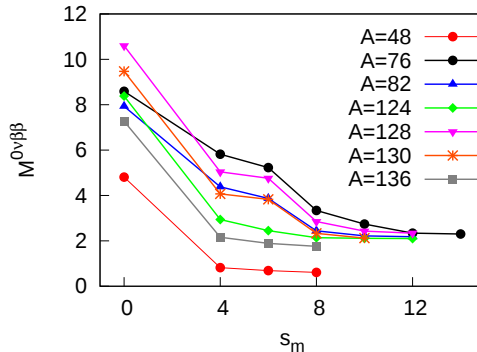
Outline

- 1 Introduction
 - Double Beta Decay
 - The Interacting Shell Model
- 2 ISM Results and Uncertainty
 - The $0\nu\beta\beta$ operator
 - ISM $0\nu\beta\beta$ calculations
 - Results and Uncertainty
 - Structure of the NMEs
- 3 Application to neutrino masses
 - Application to neutrino masses
- 4 Summary



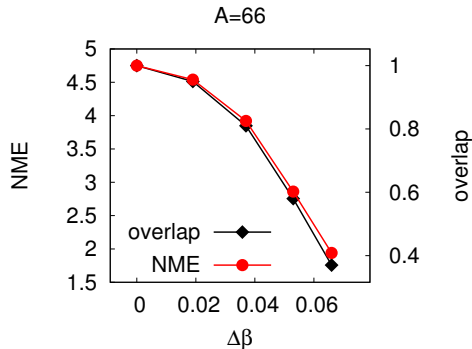
Pairing and NMEs

- $0\nu\beta\beta$ decay is favoured by pairing correlations in nuclei involved
It would be maximum between superfluid nuclei
Unfortunately there is not such a candidate



Deformation and NMEs

- $0\nu\beta\beta$ decay is disfavoured by quadrupole correlations
It is very suppressed when nuclei have different structure



Almost constant NMEs

- This explains the **small** value for the ^{48}Ca transition
- On the same fashion, the ^{150}Nd NME is also expected to be **suppressed**
See T. Rodríguez talk tomorrow
- In the **rest of the candidates** the (difference of) structure between the initial and final states is **similar** which is the reason for the relatively constant value for $M^{0\nu\beta\beta}$

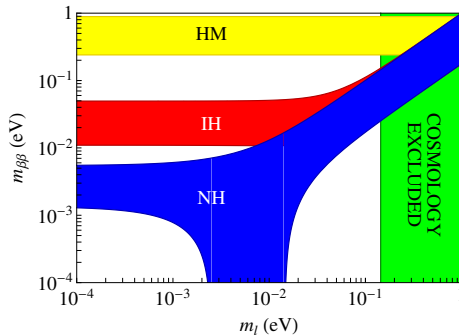
Outline

- 1 Introduction
 - Double Beta Decay
 - The Interacting Shell Model
- 2 ISM Results and Uncertainty
 - The $0\nu\beta\beta$ operator
 - ISM $0\nu\beta\beta$ calculations
 - Results and Uncertainty
 - Structure of the NMEs
- 3 Application to neutrino masses
 - Application to neutrino masses
- 4 Summary

Application to neutrino masses

- With $M^{0\nu\beta\beta}$ value we obtain $m_{\beta\beta}$ using claim for $A = 76$ decay

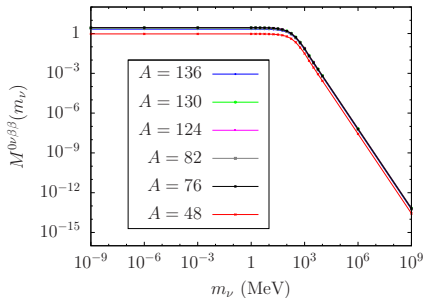
Blennow *et al.* JHEP 07 096 (2010)



Solving the incompatibility

- If we believe our $M^{0\nu\beta\beta}$, the $^{76}\text{Ge } 0\nu\beta\beta$ decay **claim**, **cosmology** neutrino mass measurements and the **Standard Model** seem to be in **conflict**
 - The $^{76}\text{Ge } 0\nu\beta\beta$ decay claim will be tested by **GERDA** experiment
 - Cosmology bounds on neutrino masses will be tested by **KATRIN** experiment
 - The mechanism for $0\nu\beta\beta$ decay might not be Standard Model light neutrinos:
Heavy Neutrinos, Right Handed Currents, Supersymmetry

Heavy neutrinos in Seesaw model



- The NME is smaller for heavy neutrinos
- If $\sum_{i \in \text{SM}} m_i U_{ei}^2 + \sum_{I \in \text{1+h}} m_I U_{eI}^2 = 0$ as required by ee element of Majorana mass matrix heavy neutrinos can never be dominant
- < 100 MeV sterile neutrinos could be a possibility

Outline

- 1 Introduction
 - Double Beta Decay
 - The Interacting Shell Model
- 2 ISM Results and Uncertainty
 - The $0\nu\beta\beta$ operator
 - ISM $0\nu\beta\beta$ calculations
 - Results and Uncertainty
 - Structure of the NMEs
- 3 Application to neutrino masses
 - Application to neutrino masses
- 4 Summary

Summary

- We have obtained within the ISM NMEs for **seven $0\nu\beta\beta$ emitters**
- We have estimated **uncertainty** in the calculation to be $\sim^{+25\%}_{-35\%}$
- **Pairing like correlations favour the $0\nu\beta\beta$ decay**
Difference in structure (deformation) **reduces the NME**
- **NMEs** can be applied to obtain information on **neutrino masses**

Many thanks to

- A. Poves (Universidad Autónoma de Madrid)
- E. Caurier (IPHC Strasbourg)
- F. Nowacki (IPHC Strasbourg)
- A. Schwenk (ExtreMe Matter Institute Darmstadt)
- D. Gazit (Hebrew University of Jerusalem)
- M. Blennow (Max Plank Institute München)
- E. Fernandez-Martinez (Max Plank Institute München)
- J. Lopez-Pavon (Durham University)