# Clinical and Radiobiological considerations in Proton Radiotherapy

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# **Summary**

- Physical and biophysical properties of protons
- Proton delivery techniques: What do their physical differences mean in terms of clinical plans?
- Uncertainties in proton therapy: How are they accounted for with passively scattered beams vs scanned beams?
- General beam angle selection guidelines
- Site-specific beam arrangement considerations
- Clinical examples of unique proton techniques
- What is the way forward in proton radiotherapy?



#### **COMPARISON OF PROTON AND PHOTON DEPTH DOSE CHARACTERISTICS**



There are three important differences to note in comparing these curves

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# THE BRAGG PEAK

Relative Dose vs Depth for ~215 MeV Proton in Water



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# THE BRAGG PEAK

Relative Dose vs Depth for ~215 MeV Proton in Water





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# THE BRAGG PEAK

Relative Dose vs Depth for ~215 MeV Proton in Water



Relative Dose/ Arbitrary Units

6

### HOW TO SPREAD THE BRAGG PEAK LONGITUDINALY? THE SPREAD OUT BRAGG PEAK

# (SOBP)

• The dimensions of a typical tumor are very much greater than the width of the pristine Bragg peak



• Bragg peaks with a range of different penetrations (proton beam energies) and intensities are combined



Depth (mm)

8

• Bragg peaks with a range of different penetrations (proton beam energies) and intensities are combined



Depth (mm)

9

• The range of different penetrations span the tumor volume



• The range of different penetrations span the tumor volume



• The range of different penetrations span the tumor volume



• When all the Bragg peaks are delivered and summed the result is the SOBP.



• When all the Bragg peaks are delivered and summed the result is the SOBP.



• When all the Bragg peaks are delivered and summed the result is the SOBP.



# THE SOBP

- The SOBP has flat dose distribution across the tumor volume
- A result of the summing process is that the peak to plateau dose ratio is degraded compared to the pristine peaks **SOBP**



# **ANOTHER PROBLEM**

- So we have solved the problem of how to cover the tumor volume in depth with the narrow Bragg peak
- But there is another problem. The proton beam emerging from the beamline also has small lateral dimensions, being only about 10 mm in diameter
- To overcome this problem we can either scatter the beam or scan it using magnets.
  Patient
  Patient
  Patient
  Tumor



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# **DOUBLE SCATTERING**

- To get large field sizes two scatterers must be used
- But there is still a field size limitation of ~ 22-25 cm



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### **APERTURE**

• An aperture shapes the beam in the lateral dimensions.



• A modulator wheel with steps of different thickness is rotated in the beam path to spread the beam in depth across the tumor.

















And so on, and on ...



And so on, and on, and on ...



And so on, and on, and on, and on ...



And so on, and on, and on, and on, and on ...







As the wheel rotates it's thickness determines which energy layer will be delivered and the size of the segment determines the relative intensity of the peak delivered at that depth, provided the wheel spins at a constant rate and the incident proton beam intensity is also constant.

#### **RANGE MODULATOR, APERTURE, COMPENSATOR**

 A modulator wheel, aperture and compensator must be used to shape the beam to the treatment volume.



#### **RANGE MODULATOR, APERTURE, COMPENSATOR**

- A modulator wheel, aperture and compensator must be used to shape the beam to the treatment volume.
- An aperture shapes the beam in the lateral dimensions.



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### ALTERNATIVE DELIVERY: PENCIL BEAM SCANNING (PBS)

 By using two magnets to scan the beam at orthogonal angles we can achieve lateral tumor coverage



#### By using two magnets to scan the beam at orthogonal angles we can achieve lateral tumor coverage



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#### PENN RADIATION ONCOLOGY

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#### By using two magnets to scan the beam at orthogonal angles we can achieve lateral tumor coverage











- By using two magnets to scan the beam at orthogonal angles we can achieve lateral tumor coverage and place relatively small pixels of dose (~ 1 cm spheres) anywhere we want them in a given plane.
- We can then reduce the beam energy and "pull back" the pixels to deliver another layer.
- And repeat the process until we have covered the entire treatment volume.



### Using this method we can achieve dose conformality on both the distal and proximal sides of the tumor



# Do we have control over the spot size?



Weber & Kraft (Cancer J 2009;15:325)





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- Protons have a clear physical advantage over photons
- Do protons have any biological advantage over photons?



- Protons have a clear physical advantage over photons
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Phys Med Biol. 2016 Feb 21;61(4):1705-21. doi: 10.1088/0031-9155/61/4/1705. Epub 2016 Feb 3.

## Analytical calculation of proton linear energy transfer in voxelized geometries including secondary protons.

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Physics in Medicine & Biology

Phys. Med. Biol. 60 (2015) 2645-2669

A critical study of different Monte Carlo scoring methods of dose average linear-energy-transfer maps calculated in voxelized geometries irradiated with clinical proton beams

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### **Microdosimetric Measurements: 3D microdetectors**

#### First Silicon Microdosimeters Based on Cylindrical Diodes

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160MeV (shifted 128mm downstream) and 230MeV Data Together



Wouters et al. Rad Res 2015 Feb; 183(2):174-87

## **Proton Delivery Techniques**

 The width of the SOBP for passively scattered beams is determined by the widest part of the target in depth





 The width of the SOBP for PBS beams is determined by the width of the target in depth along each line of spots





## **Proton Delivery Techniques**

 By adding multiple beams, you can achieve a similarly conformal plans with passively scattered beams



Double scattering (DS)



Generally, the integral dose will be higher with DS



Systematic Range Uncertainty

- The HU value must be correlated with the relative proton stopping power through a calibration curve in the treatment planning system
- Different tissue compositions which have the same HU can have different proton stopping powers
- The uncertainty in conversion from HU to sopping power introduces
  ~1-2% uncertainty in the range of the beam
- Beam hardening and image artifacts in CT scans introduce additional uncertainty



Schneider, et al. The calibration of CT Hounsfield units for radiotherapy treatment planning

- Why is range uncertainty such a big deal?
- Dose from protons falls off steeply at the end of the proton range
- Failure to account for a higher density structure along the proton path may result in a near zero dose in a distal segment of the target due to reduced range of the protons
- Neglecting to account for an air cavity upstream of the target can result in higher doses delivered to normal structures distal to the target



Goitein, et al., Med Phys

### Reducing HU Uncertainty

 Uncertainties introduced by image artifacts can be reduced by overriding the artifacts with manually set HU



#### Uncertainties perpendicular to the beam direction

- Patient setup uncertainty
- Target motion
  - Same philosophy as photon margins
- Uncertainties in the beam direction
  - Uncertainty in range due to uncertainty in HU and conversion to proton stopping power
  - Uncertainty in the path of the beam through heterogeneous tissue due to setup uncertainty
    - Margin considerations are specific to the beam direction and beam path, so PTV concept is not relevant

# **Treatment Planning – Scattered Beams**

 Uncertainty perpendicular to the beam - apply sufficient margin to the collimating aperture from the CTV to account for setup and motion uncertainty



- Range uncertainty expand the SOBP by 3.5% of the range plus 3mm distally and proximally, smear the compensator
  - 3.5% for uncertainty in HU and conversion to proton stopping power
  - 3mm for beam delivery uncertainty, compensator milling uncertainty and compensator positioning uncertainty
  - Smearing ensures coverage in the presence of motion or anatomical change along the beam path
#### Inverse planning requires either:

- Incorporating uncertainty margins into an optimization structure
- Explicit robust optimization
- Structures can be created with additional margin in the beam direction in order to account for range uncertainty



 PBS treatment plans are optimized using inverse planning techniques which allow for variation in <u>position</u>, <u>intensity</u> and <u>energy</u> of each spot



3D Forward planning



PBS: Inverse planning

 PBS plans can be optimized such that each of the beams covers the target uniformly with dose (single field optimization SFO) or such that the sum of all beams covers the target uniformly with dose (multi-field optimization MFO)



 MFO provides more degrees of freedom to optimize a treatment plan and can provide better normal tissue sparing



 The higher degree of modulation in the spot maps causes MFO plans to be less robust to uncertainty

### **General Beam Angle Selection Guidelines**

#### Shortest beam path to the target

- Protons STOP, so their major advantage is sparing dose to tissue distal to the target
- A shorter path to the target results in less overall range uncertainty
- Most homogeneous and reproducible path to the target
  - Proton range is highly sensitive to heterogeneities along its path
- Beams that stop just proximal to serial critical organs should be avoided
  - Systematic range uncertainty could lead to a much higher dose to an OAR that is close distal proximity to the beam fall-off than is calculated in the nominal plan
  - Uncertainty in relative biological effectiveness in the distal fall-off





#### Brain

- Avoid beams passing through heterogeneous sinuses and base of skull
- Shortest beam paths to reduce integral dose to normal brain tissue
- Large angle of separation between beams helps reduce skin dose
- Multiple non-coplanar beams to avoid range and RBE uncertainties pointed toward critical structures

#### **Example Brain Plan**



![](_page_78_Picture_4.jpeg)

![](_page_79_Picture_1.jpeg)

![](_page_79_Picture_2.jpeg)

#### Head and Neck

- Avoid anterior beams through areas of uncertainty in the mouth
  - Metal dental work
  - CT artifact caused by teeth and dental work
  - Tongue positioning
- Avoid posterior beams through the neck in the presence of loose tissue and skin folds
- Shoulder alignment is critical when treating neck nodes with posterior beams

#### **Example H&N Plan**

![](_page_80_Picture_2.jpeg)

![](_page_80_Picture_3.jpeg)

![](_page_80_Picture_4.jpeg)

![](_page_80_Picture_5.jpeg)

![](_page_81_Picture_1.jpeg)

![](_page_81_Picture_2.jpeg)

- Generally anterior or posterior beams are used depending on target geometry since they best spare lung dose
- Posterior beams can spare heart and breast tissue when target is more posterior

![](_page_81_Picture_5.jpeg)

 Anterior beams can spare heart and cord when target is more anterior

![](_page_81_Picture_8.jpeg)

#### Example Hodgkin's Plan

![](_page_82_Picture_2.jpeg)

![](_page_82_Picture_3.jpeg)

![](_page_82_Picture_4.jpeg)

![](_page_82_Picture_5.jpeg)

![](_page_83_Picture_1.jpeg)

#### Lung

- A posterior beam is often the most stable
- Generally the posterior beam is combined with a posterior oblique beam that blocks the spinal cord

![](_page_83_Picture_5.jpeg)

![](_page_83_Picture_6.jpeg)

![](_page_84_Picture_1.jpeg)

#### Abdomen

- Posterior and right-sided beams are the most stable
  - Reproducible setup
  - Homogeneous path, avoid bowel gas
  - Have to manage mean liver, kidney doses

![](_page_84_Picture_7.jpeg)

![](_page_84_Picture_8.jpeg)

![](_page_84_Picture_9.jpeg)

#### Field Matching with PBS

- Overlapping fields with shallow gradients to smear the match
- Example: Craniospinal matches

![](_page_85_Picture_4.jpeg)

![](_page_85_Picture_5.jpeg)

![](_page_85_Figure_6.jpeg)

![](_page_85_Figure_7.jpeg)

#### Field Matching with PBS

• Results in homogeneous safe matches between fields

![](_page_86_Picture_3.jpeg)

![](_page_86_Picture_4.jpeg)

![](_page_86_Picture_5.jpeg)

![](_page_86_Picture_6.jpeg)

![](_page_86_Picture_7.jpeg)

![](_page_86_Picture_9.jpeg)

#### Retreatment

 Protons provide the potential to treat recurrences while avoiding even low dose to previously irradiated normal tissues

![](_page_87_Picture_3.jpeg)

![](_page_87_Picture_4.jpeg)

![](_page_87_Picture_5.jpeg)

#### Avoidance of Metal in the target area

• MFO with PBS can allow treatment of targets containing metal without sending protons through the metal

![](_page_88_Picture_3.jpeg)

![](_page_88_Picture_4.jpeg)

![](_page_88_Picture_5.jpeg)

# **Biophysical aspects of current proton treatment planning approaches**

![](_page_89_Figure_1.jpeg)

![](_page_89_Picture_2.jpeg)

Percentage Dose (%)

### Standard treatment LET<sub>d</sub> distributions

![](_page_90_Figure_1.jpeg)

#### planning approaches

![](_page_91_Figure_1.jpeg)

#### Disease control will depend on dose **and LET**

Normal tissue shielded from the region of the beam with enhanced biological effectiveness

![](_page_91_Picture_4.jpeg)

Can we exchange dose for LET while maintaining the same biological effect in the target volume?

If we can, that would mean:

1we could decrease the required prescribed dose (or even the number of fractions) of the treatment without loosing its biological effectiveness.

**2reduce the dose (by default from 1) in the normal tissue** 

**3reduce the LET in the normal tissue** 

Work done by: Marcus Fager – University of Pennsylvania

![](_page_92_Picture_7.jpeg)

![](_page_92_Picture_8.jpeg)

#### Biophysical aspects of current proton treatment planning approaches

International Journal of Radiation Oncology biology • physics

www.redjournal.org

Physics Contribution

### Linear Energy Transfer Painting With Proton Therapy: A Means of Reducing Radiation Doses With Equivalent Clinical Effectiveness

"Fager Marcus, MSc, \* Toma-Dasu Iuliana, PhD, Kirk Maura, MSc, \* Dolney Don, PhD, \* Diffenderfer Eric, PhD, \* Vapiwala Neha, MD, \* and Carabe Alejandro, PhD\*

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![](_page_93_Picture_8.jpeg)

![](_page_93_Picture_9.jpeg)

![](_page_94_Picture_1.jpeg)

![](_page_94_Picture_2.jpeg)

![](_page_94_Picture_3.jpeg)

### Split Target – 2 Field - LET<sub>d</sub> distributions

![](_page_95_Figure_1.jpeg)

### Split Target – 4 Field – CTV

![](_page_96_Picture_1.jpeg)

![](_page_96_Picture_3.jpeg)

### Split Target – 4 Field - LET<sub>d</sub> distributions

![](_page_97_Picture_1.jpeg)

### Split Target – 7 Field – CTV – PBSTV

![](_page_98_Picture_1.jpeg)

![](_page_98_Picture_2.jpeg)

![](_page_98_Picture_3.jpeg)

### **Split Target – 7 Field - LET<sub>d</sub> distributions**

![](_page_99_Figure_1.jpeg)

![](_page_99_Picture_2.jpeg)

![](_page_99_Picture_3.jpeg)

### **Dose Comparison**

#### Standard Full Target

Dose		
100.0 %		
100.0		
	95.0	
	90.0	
	85.0	
	80.0	
	75.0	
	70.0	
	65.0	
	60.0	
	55.0	
	50.0	
	45.0	
	40.0	
	35.0	
	30.0	
	25.0	
	20.0	
	15.0	
*	11.0	
	.0 %	

![](_page_100_Picture_3.jpeg)

![](_page_100_Picture_4.jpeg)

![](_page_100_Picture_5.jpeg)

7 Field Split Target

![](_page_100_Picture_8.jpeg)

![](_page_100_Picture_9.jpeg)

![](_page_100_Figure_10.jpeg)

### Dose – LET<sub>d</sub> Comparison

Standard Full Target

2 Field Split Target

![](_page_101_Picture_2.jpeg)

![](_page_101_Picture_3.jpeg)

![](_page_101_Picture_4.jpeg)

4 Field Split Target

7 Field Split Target

![](_page_101_Picture_7.jpeg)

![](_page_101_Picture_8.jpeg)

![](_page_101_Picture_9.jpeg)

![](_page_101_Picture_10.jpeg)

![](_page_101_Picture_11.jpeg)

![](_page_101_Picture_12.jpeg)

![](_page_101_Figure_13.jpeg)

Biophysical aspects of current proton treatment planning approaches CTV CTV

![](_page_102_Figure_1.jpeg)

#### planning approaches

**Purpose:** To propose a proton treatment planning method that trades fractional physical dose (d) for dose-averaged Linear Energy Transfer (LET<sub>d</sub>) while keeping the radiobiological weighted dose D<sub>RBE</sub> to the target the same.

 Methods:
 The target is painted with LET<sub>d</sub> by using 2, 4 and 7 fields aimed at the proximal segment of the target (split target planning, STP). As the LET<sub>d</sub> within the target increases with the increasing number of fields, the physical dose per fraction decreases to maintain the D<sub>RBE</sub> the same as the conventional treatment planning method using beams treating the full target (full target planning, FTP).
 2STP: 9% (1.8GyE)

 Results:
 The LET<sub>d</sub> increased inside the target by 61% for 2STP, 72% for 4STP and 4STP:11% (1.8GyE)
 82% for 7STP, compared to FTP. This iperease in LET<sub>d</sub> led to a decrease of d with 0.16±0.01Gy for 2STP, 0.21±0.03Gy for 4STP alto 0.21±0.01Gy for 7STP beeping the
 7STP:12% (1.8GyE)

Conclusions: LET<sub>d</sub> painting offers a method to reduce prescribed dose at no cost for

Fager et al., 2014

the biological effectiveness of the treatment.

![](_page_103_Picture_6.jpeg)

![](_page_103_Picture_7.jpeg)

What dose decrease percentage can we get if we go from discrete beams to...

![](_page_104_Picture_1.jpeg)

![](_page_104_Picture_2.jpeg)

![](_page_104_Picture_3.jpeg)

![](_page_104_Picture_4.jpeg)

... continuous beam delivery

![](_page_105_Picture_1.jpeg)

### PROTON MODULATED ARC THERAPY (PMAT)

![](_page_105_Picture_3.jpeg)

![](_page_105_Picture_4.jpeg)

![](_page_105_Picture_5.jpeg)

### **PMAT vs PBS treatment of Brain tumor**

![](_page_106_Picture_1.jpeg)

![](_page_106_Picture_3.jpeg)

### **PMAT in Brain tumor**

![](_page_107_Figure_1.jpeg)

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![](_page_107_Picture_3.jpeg)

1.71

1.37

1.03

0.68

0.34
#### **PMAT in Brain tumor**



# ARC 2 (E<sub>1</sub>=110.2MeV)



### **PMAT-DOSE**

## **PBS-DOSE**

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110



## **PMAT vs PBS: DVH**







#### **PMAT-LET**

### **PBS-LET**





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### **PMAT vs PBS: LET-VH**



	BRAINSTEM
	Brain
<b>_</b>	COCHLEA_L
	COCHLEA_R
	OPTIC CHIASM
	CTV 59.4 rev

LET (keV/um) x 100





Ratio of Total Structure Volume [%]

- Physics of proton therapy allows for sparing of additional normal tissue compared with photon therapy for a number of treatment sites
- Uncertainties in proton therapy must be addressed to ensure target coverage and safe doses to normal tissue structures
- Careful beam selection and robust planning help to maximize the potential benefits of proton therapy
- There biophysical properties in proton beams different than those present in conventional radiations
- The biophysical properties of proton beams will play an important role in the near evolution of proton radiotherapy delivery techniques



